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PROFESSIONAL PATENT SERVICES

1725 Duke Street, Suite 650

Alexandria, Virginia 22314

Phone (703) 838-7683/Facsimile (703) 838-7684

E-Mail: patserv@lacasse-patents.com

**CONFIDENTIAL
FACSIMILE TRANSMITTAL SHEET**DATE SENT: November 13, 2002**DELIVER TO:**

Name: Karen Creasy
Company: USPTO - Petitions
Phone No: (703) 305-9285
Fax No: (703) 308-6916

FAX RECEIVED**NOV 13 2002****PETITIONS OFFICE**FROM: **Randy W. Lacasse**SERIAL NO.: **09/387,796**OUR DOCKET: **ST9-99-084**

THERE WILL BE A TOTAL OF **23** PAGE(S) INCLUDING THIS COVER SHEET.
OUR FACSIMILE MACHINE COMMUNICATES WITH ALL GROUP III, II AND FM6
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<input checked="" type="checkbox"/> TRANSMITTAL FORM	<input checked="" type="checkbox"/> DECLARATION (16 PAGES)
<input checked="" type="checkbox"/> FEE TRANSMITTAL (IN DUPLICATE)	<input checked="" type="checkbox"/> FIRST CLASS MAILING RECEIPT
<input checked="" type="checkbox"/> PETITION TO CORRECT INVENTORSHIP UNDER 37 CFR 1.47	

DOCKET: **ST9-99-084**SERIAL NO.: **09/387,796**IN RE APPL. OF: **Christensen et al.**TITLE: **Color and Symbol Coded Visual Cues for Relating Screen Items to Each Other**PGS OF SPEC: 0 PGS OF CLAIMS: 0 PGS OF DRAWINGS: 0AGENTS: **Randy W. Lacasse**TOTAL CHARGES: **\$1.5000**

11/05/02 (09/01)

Approved for use through 10/31/2002 (OMB 0651-0031)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/387,796	
	Filing Date	09/01/1999	
	First Named Inventor	Christensen et al.	
	Group Art Unit	2173	
	Examiner Name	Nguyen, Thomas T.	
Total Number of Pages in This Submission	22	Attorney Docket Number	ST9-99-084

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) Declaration (16 pages) First Class Mail Receipt
Remarks: _____		

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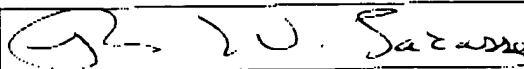
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
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Randy W. Lacasse, Reg. No. 34,368

Signature



Date

11/13/02

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FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$130

Application Number 09/387,796
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PETITIONS OFFICE

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																											
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Name (Print/Type)

Randy W. Lacasse

Registration No. (Attorney/Agent)

34,368

Telephone

703-838 7683

Signature

Date

11/15/02

COPY

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$130

Application Number

Complete if Known

09/387,706

Filing Date

9/1/1999

First Named Inventor

Christensen et al

Examiner Name

Unknown

Group Art Unit

Unknown

Attorney Docket No.

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METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																																																																																																							
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number: 09-0460</p> <p>Deposit Account Name: IBM CORPORATION</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fees Required Under 37 CFR 1.10 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>				<p>3. 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Name (Print/Type)

Randy W. Lacasse

Registration No. (Attorney/Agent)

34,369

Telephone

703-838-7683

Signature

[Signature]

Date

11/13/02

#11

STP 99-004
09/20/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Christensen et al.

Serial No.: 09/387,796

Group Art Unit: 2173

Filed: 9/1/1999

Examiner: Nguyen, Thomas T.

Title: Color and Symbol Coded Visual Cues for Relating Screen Items to Each Other

PETITION TO CORRECT INVENTORSHIP UNDER 37 CFR 1.47Commissioner of Patents
and Trademarks
Washington, D.C. 20231

FAX RECEIVED

NOV 13 2002

Sir:

PETITIONS OFFICE

On October 8, 2002, applicant petitioned for a correction of inventorship under rule 1.48. Under section (a) paragraph (3) of rule 1.48, the oath or declarations were filed using rule 1.47. Applicant was notified that the rule 1.47 petition must be first decided by the petitions branch, with the 1.48 decision subsequently decided by the group. We are presenting the facts of the 1.47 petition and appropriate fee herewith in connection with the original request.

Accordingly, applicant hereby files new declarations (5) to correct the inventorship of the present patent to show Catherine Christensen, Ricardo Gonzalez, David Hamilton, Dana Pupons Wickham, and Robert E. Medl as the joint inventors. However, one of the inventors, Ricardo Gonzalez, no longer an employee of IBM, was a non-signing inventor. A diligent effort was made to locate and/or obtain signatures for the associated new declaration. A First Class mail receipt for non-signing inventor Ricardo Gonzalez, with last known address, is included herein.

0000000000
00/00/00

It is respectfully requested that the petition under rule 1.47 be approved and the subsequent approval for the correction of inventorship (1.48) be approved as a result thereof.

Respectfully submitted,



Randy W. Lacasse
Registration No. 34,368

1725 Duke Street, Suite 650
Alexandria, VA 22314
(703) 838-7683
November 13, 2002

ST9-99 084
09/387,796

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
DECLARATION FOR PATENT APPLICATION

INVENTORS:

Christensen et al.

FAX RECEIVED**NOV 13 2002****TITLE:****PETITIONS OFFICE***Color and Symbol Coded Visual Cues for Relating Screen Items to Each Other***APPLICATION SERIAL NUMBER:**

09/387,796

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Color and Symbol Coded Visual Cues for Relating Screen Items to Each Other the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

STG 09-084
(09/187,796)

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patents or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Foreign Priority Table			
Number	Country	Day/Month/Year Filed	Priority Claimed?: Y/N

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the National or PCT international filing date of this application.

Application No.	Filing Date	Status-patented, pending, abandoned
08/954,852	10/21/1997	PATENTED
Application No.	Filing Date	Status-patented, pending, abandoned

I hereby claim the benefit under 35 U.S.C. 119 (e) of any United States provisional application(s) listed below.

Application No.	Filing Date
Application No.	Filing Date

NOV 04 '02 17:08 FR

408 463 327 917038 7684

P. 04/05

ST9-99-084
00/187,796

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Randy W. Lacasse	(34,368)	Farrokh E. Pourmirzai	(45,297)
Kevin E. Greene	(46,031)	Christine H. Smith	(43,133)
Thien Tran	(47,351)	Richard M. Goldman	(25,585)
Jaclyn A. Schade	(50,569)	Michael J. Buchenhorner	(33,162)
Romualdas Strimaitis	(35,697)	Christopher A. Hughes	(26,914)
Prentiss W. Johnson	(33,123)	John E. Hoel	(26,279)
Ingrid M. Foorster	(36,511)	Stanley B. Green	(24,351)
Gregory M. Plow	(43,005)	Joseph C. Redmond, Jr.	(18,753)

Send Correspondence To: Lacasse & Associates, LLC
Randy W. Lacasse
1725 Duke Street, Suite 650
Alexandria, VA 22314

Direct Telephone Calls: (703) 838-7683

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of first joint-inventor: Catherine Christensen
Residence: 871 Southampton Drive, Palo Alto, CA 94303, Santa Clara County
Citizenship: USA
Post Office Address: Same as above

Date: 11-2-2002

Signature: Catherine Christensen

STV-99-084
09/187,796

Full Name of second joint-inventor: Ricardo L. Gonzalez

Residence: PO Box 790, Huron, CA 93234, Fresno County

Citizenship: USA

Post Office Address: Same as above

Date: _____ Signature: _____

Full Name of third joint-inventor: David Brooks Hamilton

Residence: 252 Moretti Lane, Milpitas, CA 95035, Santa Clara County

Citizenship: USA

Post Office Address: Same as above

Date: _____ Signature: _____

Full Name of fourth joint-inventor: Daina Edvina Puons Wickham

Residence: 8301 Brooktree Street, Laurel, MD 20724, Anne Arundel County

Citizenship: USA

Post Office Address: Same as above

Date: _____ Signature: _____

Full Name of fifth joint-inventor: Robert Edward Medl

Residence: 164 Obert Drive, San Jose, CA 95136, Santa Clara County

Citizenship: USA

Post Office Address: Same as above

Date: _____ Signature: _____

OCT 06 '22 25:13 FR

428 463 3627 72 917638387684

P.23/13

SIV-99-084
09/87,796

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
DECLARATION FOR PATENT APPLICATION

INVENTORS:

Christensen et al.

TITLE:

Color and Symbol Coded Visual Cues for Relating Screen Items to Each Other

APPLICATION SERIAL NUMBER:

09/387,796

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

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OCT 08 '22 09:14 FR

428 463 3627 TO 517232387684

P. 04/13

STY-99 084
09/187,796

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Application No.	Filing Date	Status-patented, pending, abandoned
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Application No.	Filing Date	Status-patented, pending, abandoned

I hereby claim the benefit under 35 U.S.C. 119 (e) of any United States provisional application(s) listed below.

Application No.	Filing Date
Application No.	Filing Date

OCT 28 '02 05:14 FR

408 463 3627 TO 917038387684

P. 25/13

ST9-99-084
(09/18/1996)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Randy W. Lacasse	(34,368)	Farrokh E. Pourmirzaie	(45,297)
Kevin E. Greene	(46,031)	Christine H. Smith	(43,133)
Thien Tran	(47,351)	Richard M. Goldman	(25,585)
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Full Name of first joint-inventor: Catherine Christensen
Residence: 1657 Merriton Court, San Jose, CA 95124, Santa Clara County
Citizenship: USA
Post Office Address: Same as above

Date: _____ **Signature:** _____

OCT 23 '02 09:14 FR

408 4E3 3E27 TO 917038387684

P. 06/13

STN 00 084
0/1387.796

Full Name of second joint-inventor: Ricardo L. Gonzalez

Residence:

Citizenship: USA

Post Office Address: PO Box 790, Huron, CA 93234, Fresno County

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Date: _____ Signature: _____

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Residence: 8301 Brooktree Street, Laurel, MD 20724, Anne Arundel County

Citizenship: USA

Post Office Address: Same as above

Date: _____ Signature: _____

Full Name of fifth joint-inventor: Robert Edward Medl

Residence: 164 Obert Drive, San Jose, CA 95136, Santa Clara County

Citizenship: USA

Post Office Address: Same as above

Date: 13 Sep 02 Signature: Robert Medl

OCT 28 '02 09:14 FR

428 453 3627 TO 51023307584

P.08/13

519 99 084
09/387,796

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
DECLARATION FOR PATENT APPLICATION**

INVENTORS:

Christensen et al.

TITLE:

Color and Symbol Coded Visual Cues for Relating Screen Items to Each Other

APPLICATION SERIAL NUMBER:

09/387,796

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OCT 08 '02 09:15 FR

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P.05/13

\$19.99 (084)
(09/387.796)

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patents or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Foreign Priority Table			
Number	Country	Day/Month/Year Filed	Priority Claimed?: Y/N

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the National or PCT international filing date of this application.

Application No.	Filing Date	Status-patented, pending, abandoned
08/954,852	10/21/1997	PATENTED
Application No.	Filing Date	Status-patented, pending, abandoned

I hereby claim the benefit under 35 U.S.C. 119 (e) of any United States provisional application(s) listed below.

Application No.	Filing Date
Application No.	Filing Date

OCT 08 '02 09:15 FR

428 463 3627 TO 517238387684

P.10/13

ST9 99 084
02/087,796

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Randy W. Lacasse	(34,368)	Farrokh E. Pourmirzaie	(45,297)
Kevin F. Greene	(46,031)	Christine H. Smith	(43,133)
Thien Tran	(47,351)	Richard M. Goldman	(25,585)
Jaclyn A. Schade	(50,569)	Michael J. Buchenhorner	(33,162)
Romualdas Strimaitis	(35,697)	Christopher A. Hughes	(26,914)
Prentiss W. Johnson	(33,123)	John E. Hoel	(26,279)
Ingrid M. Foerster	(36,511)	Stanley B. Green	(24,351)
Gregory M. Plow	(43,005)	Joseph C. Redmond, Jr.	(18,753)

Send Correspondence To: Lacasse & Associates, LLC
Randy W. Lacasse
1725 Duke Street, Suite 650
Alexandria, VA 22314

Direct Telephone Calls: (703) 838-7683

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of first joint-inventor: Catherine Christensen
Residence: 1657 Merriton Court, San Jose, CA 95124, Santa Clara County
Citizenship: USA
Post Office Address: Same as above

Date: _____ **Signature:** _____

OCT 08 '22 09:15 FR

428 463 3527 TO 9:7038387684

P.11/13

ST9-99-084
09/18/1996

Full Name of second joint-inventor: Ricardo L. Gonzalez

Residence:

Citizenship: USA

Post Office Address: PO Box 790, Huron, CA 93234, Fresno County

Date: _____ Signature: _____

Full Name of third joint-inventor: David Brooks Hamilton

Residence: 252 Moretti Lane, Milpitas, CA 95035, Santa Clara County

Citizenship: USA

Post Office Address: Same as above

Date: _____ Signature: _____

Full Name of fourth joint-inventor: Daina Edvina Pupos Wickham

Residence: 8301 Brooktree Street, Laurel, MD 20724, Anne Arundel County

Citizenship: USA

Post Office Address: Same as above

Date: 16 sept 2002 Signature: Daina Edvina Pupos Wickham

Full Name of fifth joint-inventor: Robert Edward Medl

Residence: 164 Obert Drive, San Jose, CA 95136, Santa Clara County

Citizenship: USA

Post Office Address: Same as above

Date: _____ Signature: _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
DECLARATION FOR PATENT APPLICATION

INVENTORS:

Christensen et al.

TITLE:

Color and Symbol Coded Visual Cues for Relating Screen Items to Each Other

APPLICATION SERIAL NUMBER:

09/387,796

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Color and Symbol Coded Visual Cues for Relating Screen Items to Each Other the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

SF9-99 084
09/387,196

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patents or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Foreign Priority Table			
Number	Country	Day/Month/Year Filed	Priority Claimed?: Y/N

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Application No.	Filing Date	Status-patented, pending, abandoned
08/954,852	10/21/1997	PATENTED
Application No.	Filing Date	Status-patented, pending, abandoned

I hereby claim the benefit under 35 U.S.C. 119 (e) of any United States provisional application(s) listed below.

Application No.	Filing Date
Application No.	Filing Date

ST9 99 084
09/18/796

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Randy W. Lacasse	(34,368)	Farrokh E. Pournirzaie	(45,297)
Kevin E. Greene	(46,031)	Christine H. Smith	(43,133)
Thien Tran	(47,351)	Richard M. Goldman	(25,585)
Jaclyn A. Schade	(50,569)	Michael J. Buchenhorner	(33,162)
Romualdas Strimaitis	(35,697)	Christopher A. Hughes	(26,914)
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Full Name of first joint-inventor: Catherine Christensen
Residence: 871 Southhampton Drive, Palo Alto, CA 94303, Santa Clara County
Citizenship: USA
Post Office Address: Same as above

Date: _____ **Signature:** _____

NOV 12 '02 17:04 FR

408 463 3627 TO 9170387684

11.05.02

ST9-99 084
(01/187,796

Full Name of second joint-inventor: Ricardo L. Gonzalez

Residence: PO Box 790, Huron, CA 93234, Fresno County

Citizenship: USA

Post Office Address: Same as above

Date: _____ Signature: _____

Full Name of third joint-inventor: David Brooks Hamilton

Residence: 252 Moretti Lane, Milpitas, CA 95035, Santa Clara County

Citizenship: USA

Post Office Address: Same as above

Date: 11/07/02 Signature: David Hamilton

Full Name of fourth joint-inventor: Daina Edvina Pupons Wickham

Residence: 8301 Brooktree Street, Laurel, MD 20724, Anne Arundel County

Citizenship: USA

Post Office Address: Same as above

Date: _____ Signature: _____

Full Name of fifth joint-inventor: Robert Edward Medl

Residence: 164 Obert Drive, San Jose, CA 95136, Santa Clara County

Citizenship: USA

Post Office Address: Same as above

Date: _____ Signature: _____

OCT 28 '02 09:16 FR

408 463 3527 TO 517038387684

P. 13/13

MO8-0880-03

Jeanette Berry Souza
Intellectual Property Law
Dept. J46/Office C4681
Silicon Valley Laboratory
555 Bailey Avenue
San Jose, CA 95141

PO BOX CLOSED
NO ORDER

CEM71750

First Class
Mail

Ricardo L. Gonzalez
P.O. Box 790
Huron, CA 93234

555 Bailey Avenue
San Jose, CA 95141

NAME
1st Notice
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3rd Notice
4th Notice
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